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APF

PTO/SB/31 (04-05)
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|--|--|---|--|
| NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES | | Docket Number (Optional) 09432/183002 | |
| In re Application of David Llewellyn Mallis et al. | | | |
| Application Number 09/977,746-Conf. #3552 | | Filed October 15, 2001 | |
| For WEDGE THREAD WITH TORQUE SHOULDER | | | |
| Art Unit 3679 | | Examiner E. K. Nicholson | |

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1)) \$ 500.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ _____

☐ A check in the amount of the fee is enclosed.

☒ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0591.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

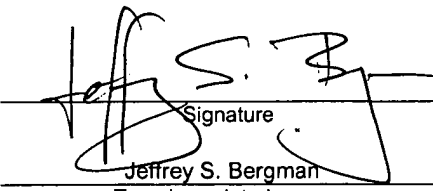
I am the

☐ applicant /inventor.

☐ assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

☒ attorney or agent of record.
Registration number 45,925

☐ attorney or agent acting under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34. _____



Signature
Jeffrey S. Bergman
Typed or printed name

(713) 228-8600
Telephone number
February 15, 2006
Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 1 forms are submitted.

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~~FC:1401 500.00 OP~~



Application No. (if known): 09/977,746

Attorney Docket No.: 09432/183002

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV841967206US in an envelope addressed to:

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Michelle Hayden

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Telephone Number

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Amendment Transmittal (1 page)
Fee Transmittal (1 page)
Notice of Appeal (1 page)
Payment by credit card. Form PTO-2038 is attached (1 page)
Charge \$500.00 to credit card

**AMENDMENT TRANSMITTAL LETTER**Docket No.
09432/183002Application No.
09/977,746-Conf. #3552Filing Date
October 15, 2001Examiner
E. K. NicholsonArt Unit
3679

Applicant(s): David Llewellyn Mallis et al.

Invention: WEDGE THREAD WITH TORQUE SHOULDER

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|---|---|-----------------------------------|------|--------|
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 10 | - 20 = | | x | |
| Independent Claims | 1 | - 3 = | | x | |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): Notice of appeal | | | | | 500.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 500.00 |

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. 50-0591 in the amount of \$ _____
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591
as described below.☒ Credit any overpayment.☐ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.
Jeffrey S. Bergman
Attorney/Agent Reg. No.: 45,925Dated: February 15, 2006OSHA · LIANG LLP
1221 McKinney St., Suite 2800
Houston, Texas 77010
(713) 228-8600



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| | | | |
|---|--|--------------------------|------------------------|
| FEE TRANSMITTAL For FY 2005 | | Complete if Known | |
| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). | | Application Number | 09/977,746-Conf. #3552 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Filing Date | October 15, 2001 |
| | | First Named Inventor | David Llewellyn Mallis |
| | | Examiner Name | E. K. Nicholson |
| | | Art Unit | 3679 |
| TOTAL AMOUNT OF PAYMENT | | Attorney Docket No. | 09432/183002 |
| (\$) | | 500.00 | |

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|---|--|
| METHOD OF PAYMENT (check all that apply) | |
| <input type="checkbox"/> Check | <input checked="" type="checkbox"/> Credit Card |
| <input type="checkbox"/> Money Order | <input type="checkbox"/> None |
| <input type="checkbox"/> Other (please identify): _____ | |
| <input checked="" type="checkbox"/> Deposit Account | Deposit Account Number: <u>50-0591</u> Deposit Account Name: <u>Osha - Liang LLP</u> |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | |
| <input type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments |

| | | | | | | | |
|---|---------------------|---|--------------------|---------------------|-------------------------|----------------------|-----------------------|
| FEE CALCULATION | | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | | | | | | | |
| | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | |
| | | <u>Small Entity</u> | | <u>Small Entity</u> | | <u>Small Entity</u> | |
| Application Type | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |
| | | | | | | | Small Entity |
| | | | | | | | Fee (\$) |
| 2. EXCESS CLAIM FEES | | | | | | | Fee (\$) |
| Fee Description | | | | | | | Fee (\$) |
| Each claim over 20 (including Reissues) | | | | | | | 50 |
| Each independent claim over 3 (including Reissues) | | | | | | | 200 |
| Multiple dependent claims | | | | | | | 360 |
| Total Claims | | | | | | | Fee (\$) |
| Extra Claims | | | | | | | Fee (\$) |
| Fee (\$) | | | | | | | Fee Paid (\$) |
| 19 - 20 = _____ x _____ = _____ | | | | | | | |
| Indep. Claims | | | | | | | Fee (\$) |
| Extra Claims | | | | | | | Fee (\$) |
| Fee (\$) | | | | | | | Fee Paid (\$) |
| 2 - 3 = _____ x _____ = _____ | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | | | Fee (\$) | Fee Paid (\$) | |
| _____ | _____ | _____ / 50 _____ (round up to a whole number) x _____ = _____ | | | | | |
| | | | | | | | Fees Paid (\$) |
| 4. OTHER FEE(S) | | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | | |
| Other (e.g., late filing surcharge): 1401 Notice of appeal | | | | | | | 500.00 |

| | | | |
|---------------------|--------------------|-----------------------------------|-------------------|
| SUBMITTED BY | | | |
| Signature | | Registration No. (Attorney/Agent) | 45,925 |
| Name (Print/Type) | Jeffrey S. Bergman | Telephone | (713) 228-8600 |
| | | Date | February 15, 2006 |